

# Estimates of Lightning NO<sub>x</sub> Production Per Flash from OMI NO<sub>2</sub> and Lightning Observations

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**ABSTRACT:** A specialized retrieval algorithm for estimates of tropospheric column NO<sub>x</sub> due to lightning was developed for the Deep Convective Clouds and Chemistry (DC3) field program, conducted during May and June 2012, using NO<sub>2</sub> retrievals from the Ozone Monitoring Instrument (OMI) on NASA's Aura satellite, which provides once-per-day data from an overpass at ~1:30 PM LST. Two forms of the algorithm have been developed. The first is for active or recently active storms and the second is for relatively clear sky situations. Estimates of stratospheric and tropospheric background NO<sub>2</sub> columns are subtracted from the OMI total column observations and an air mass factor representative of a convective outflow regime was used to convert the residual to vertical columns of lightning NO<sub>x</sub> (LNO<sub>x</sub>). Four case study storms observed in DC3 were selected to estimate LNO<sub>x</sub> production per flash based on a combination of the number of moles of LNO<sub>x</sub> indicated by OMI and the contributing flashes recorded by the National Lightning Detection Network (NLDN) and Lightning Mapping Arrays (LMAs). Two cases involved OMI observations over active or very recently active lightning-producing storms. Another two cases involved LNO<sub>x</sub> observed in relatively clear skies downwind of storms in the DC3 intensive study regions.

## INTRODUCTION

NO<sub>2</sub> and NO (together referred to as NO<sub>x</sub>) are trace gases important in ozone chemistry in both the troposphere and stratosphere. Worldwide, anthropogenic emissions of NO<sub>x</sub> dominate the NO<sub>x</sub> budget. However, considerable uncertainty surrounds emission rates from natural sources (lightning and soil). Lightning is the largest non-anthropogenic source of NO<sub>x</sub> in the free troposphere (hereafter, we refer to lightning-generated NO<sub>x</sub> as LNO<sub>x</sub>). Most estimates of global LNO<sub>x</sub> production range from 2 to 8 Tg (N) yr<sup>-1</sup> [Schumann and Huntrieser, 2007] or about 10–15% of the total NO<sub>x</sub> budget. The effects of lightning are felt most strongly in the middle and upper part

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of the troposphere, where this source plays the dominant role in controlling  $\text{NO}_x$  and ozone amounts especially in the tropics and at midlatitudes in the summer, despite the greater overall magnitude of the anthropogenic  $\text{NO}_x$  emissions [R. Zhang et al., 2003]. In this region,  $\text{NO}_x$  has a lifetime several times longer than the approximate 1-day lifetime in the lower troposphere so that a given amount of  $\text{LNO}_x$  in the upper troposphere can have a greater impact on ozone chemistry. Ozone is the third most important greenhouse gas [IPCC, 2007], and ozone enhancements near the tropopause have the greatest effect on its radiative forcing. Therefore, additional ozone produced downwind of thunderstorm events is particularly effective in climate forcing.

Two types of information are needed for estimating the global  $\text{LNO}_x$  source strength: the global flash rate and the production per flash. The global number of flashes is fairly well established as a result of climatologies constructed from satellite sensors such as the Optical Transient Detector (OTD, 1995-2000) [Christian et al., 2003; Boccippio et al., 2000] and the Lightning Imaging Sensor (LIS, 1997 to present) [Christian et al., 2003; Boccippio et al., 2002; Mach et al., 2007]. Therefore, the factor of 4 uncertainty in the range of global  $\text{LNO}_x$  source strength stems primarily from uncertainty in the  $\text{NO}_x$  production per flash. There have been several methods used to estimate this quantity: theoretical estimates, laboratory experiments, analysis of aircraft observations, cloud-resolving model simulations constrained by lightning flash observations and anvil  $\text{NO}_x$  measurements, and analysis of satellite observations (see Table 1). Our group has employed the latter three of these methods in previous analyses of  $\text{LNO}_x$  production. Under NASA-sponsored work, we have developed a preliminary algorithm for computing  $\text{LNO}_x$  from OMI observations and have applied it for sets of tropical (Bucsela et al., 2010) and midlatitude convective storms. From Table 1 it can be noted that in general, estimates of average  $\text{LNO}_x$  production per flash determined for midlatitude and subtropical thunderstorms tend to be larger than for tropical thunderstorms. Huntrieser et al. (2008) have hypothesized that  $\text{LNO}_x$  production per flash at midlatitudes may be larger than in the tropics due to greater vertical wind shear at higher latitudes, leading to greater flash lengths.

## METHODS

### *Algorithm*

The Ozone Monitoring Instrument (OMI) is on NASA's Aura satellite, which is part of NASA's A-Train. It is in a sun-synchronous polar orbit, crossing equator at 1:30pm (LT).  $\text{NO}_2$  and other species are retrieved using UV/VIS radiance observations. OMI provided daily global coverage beginning in late 2004. However, a substantial number of the pixels in the field of view became blocked after 2008, reducing the coverage per day. The OMI pixel at nadir is 13 x 24 km; pixels become larger toward the edges of the orbital swath. The NASA standard product retrieval for  $\text{NO}_2$  (Bucsela et al., 2013) provides the total slant column amount of  $\text{NO}_2$  between the satellite and the earth's surface, as well as stratospheric and tropospheric vertical column amounts.

We have developed a special algorithm to retrieve the component of  $\text{NO}_2$  due to lightning and convert this to a vertical column of  $\text{NO}_x$ , as illustrated in the following equation:

Table 1. Some Literature Estimates of LNO<sub>x</sub> Production Per Flash

Method	Moles NO/flash (Notes)	Reference
Theoretical	1100 (CG), 110 (IC)	Price et al., 1997
Laboratory	~103	Wang et al., 1998
Aircraft data, cloud model	345-460 (STERAO-A)	DeCaria, et al., 2005
Aircraft data, cloud model	360 (STERAO-A, EULINOX)	Ott et al., 2007; 2010
Aircraft data, cloud model	590-700 (CRYSTAL-FACE)	Ott et al., 2010
	500 (Mean midlat. from model)	Ott et al., 2010
Aircraft data, cloud model	500 - 600 (Hector)	Cummings et al., 2013
Aircraft data	70-210 (TROCCINOX)	Huntrieser et al., 2008
Aircraft data	121-385 (SCOUT-O3 Darwin)	Huntrieser et al., 2009
Aircraft data	70-179 (AMMA)	Huntrieser et al., 2011
LMA/Theoretical	484 (CG), 34 (IC)	Koshak et al., 2013
Satellite (GOME)	32-240 (Sub-Tropical)	Beirle et al., 2006
Satellite (OMI)	87-246 (TC4 – tropical marine)	Bucsela et al., 2010
	174 (TC4 mean from OMI)	Bucsela et al., 2010
Satellite (OMI)	440 (Central US, Gulf)	Pickering et al. (in prep)
Satellite (SCIAMACHY)	33-50 max. (global analysis)	Beirle et al., 2010

$$\Omega_{\text{LNO}_x} = \frac{\Omega_{\text{total}}^{\text{slant}} - \Omega_{\text{strat}}^{\text{OMI}} \times \text{AMF}_{\text{strat}} - \Omega_{\text{BG}}^{\text{OMI}} \times \text{AMF}_{\text{trop}}}{\text{AMF}_{\text{LNO}_x}}$$

In this equation  $\Omega$  is the column amount (which can be either NO<sub>x</sub> or NO<sub>2</sub>). The stratospheric column (red) is based on mean OMI stratospheric NO<sub>2</sub> from the standard algorithm for 4 days surrounding day of analysis. The tropospheric background (BG) column (green) is an estimate of the contributions of sources other than lightning to tropospheric column. We use the fraction of the monthly mean tropospheric NO<sub>2</sub> column from the standard algorithm that is not due to lightning as an approximation of the background. For both stratosphere and tropospheric background we use the air mass factors (AMF) supplied by the standard algorithm to convert the vertical columns to slant columns. AMFs result from radiative transfer modeling using an assumed NO<sub>2</sub> profile, cloud information, and surface albedo. The tropospheric background is assumed to be zero for OMI pixels for which the cloud radiative fraction (CRF) is greater than 0.7 (ie., an assumption that the instrument is viewing very little of the pollution in the lower troposphere when substantial highly reflective cloud is present). Following subtraction of the stratospheric and tropospheric background components in the numerator, we divide by an AMF for LNO<sub>x</sub>, which assumes a profile shape appropriate for LNO<sub>x</sub> (maximum in the upper troposphere). This AMF converts the slant column LNO<sub>2</sub> to vertical column LNO<sub>x</sub>. The LNO<sub>x</sub> profile shape comes from gridded output from NASA's Global Modeling Initiative (GMI) chemical transport model which was run with and without lightning. Profiles of LNO and LNO<sub>x</sub> are obtained by subtracting the profiles from the no-lightning simulation from those from

the simulation with lightning. Our algorithm results in vertical  $\text{LNO}_x$  columns for each OMI pixel. The  $\text{LNO}_x$  columns are converted to moles of  $\text{LNO}_x$  and summed over 1 deg x 1 deg grid cells.

### *Analysis of $\text{LNO}_x$ in relation to observed lightning*

Four storm cases from the Deep Convective Clouds and Chemistry (DC3) experiment during May-June 2012 were selected for analysis of the OMI  $\text{LNO}_x$  in relation to observed lightning flashes. These were cases in which DC3 research aircraft conducted flights measuring  $\text{NO}_x$  in the region with enhanced OMI  $\text{LNO}_x$ . The in-situ data collected by the aircraft were used in determining the manner in which the tropospheric background and CRF criteria were handled. The OMI data were observed downwind of two storms in relatively clear sky conditions (30 May – downwind of the Oklahoma storm of 29-30 May; 8 June – downwind of Colorado storms of 7-8 June), and in a second two cases the OMI overpass was over active convection (11 June over Missouri, Illinois, and Arkansas and 21 June over Missouri and Illinois). For the downwind cases OMI pixels with  $\text{CRF} < 0.3$  were used, and for the active convection cases pixels with  $\text{CRF} > 0.7$  were used.

For all four cases back trajectories were constructed from the region of OMI  $\text{LNO}_x$  enhancement to determine the regions containing lightning flashes that contributed to the enhancement. Flashes are counted along the trajectories. Flashes from the Oklahoma Lightning Mapping Array (LMA) were used for the 29-30 May storm. The 7-8 June storms occurred over the Colorado LMA, but flash data were not yet available for this case. Therefore, in this case, as well as the June 11 and 21 cases over Missouri/Illinois/Arkansas where no LMA exists, cloud-to-ground flash data from the National Lightning Detection Network (NLDN) were used. In these cases total flashes were estimated using the appropriate gridded values of the climatological IC/CG ratio developed by Boccippio et al. (2000). The trajectories were also used to estimate the transport time from the locations where the lightning occurred to the location where the  $\text{LNO}_x$  was observed by OMI. An exponential decay of  $\text{LNO}_x$  over the duration of transport was assumed with an upper tropospheric  $\text{NO}_x$  lifetime of 4 days.

## **RESULTS**

### *Downwind Cases*

Figure 1 shows a radar reflectivity depiction of the Oklahoma storms that occurred on 29-30 May 2012, and the resulting downwind  $\text{LNO}_2$  detected on 30 May over the southern Appalachians. In the figures shown here, the  $\text{LNO}_2$  has not yet been converted to  $\text{LNO}_x$ . Figure 2 shows a sample of the estimated total flashes during the 0100 – 0400 UT period of this storm, as well as a sample of the trajectories that link the  $\text{LNO}_2$  maximum with these flashes. The LMA network recorded 31,553 flashes over the duration of the storm system that was sampled by the DC3 aircraft (compared with 45,751 flashes when estimating total flashes using the NLDN data scaled using the Boccippio IC/CG ratios). However, the trajectories indicate

that other storms in Oklahoma as well as storms in Alabama contributed to the LNO<sub>x</sub> maximum over the southern Appalachians. Scaling the total flashes counted along the trajectories using the ratio of LMA to adjusted NLDN flashes for the major Oklahoma storm yields a total of 104, 513 contributing flashes. The LNO<sub>x</sub> retrieval produced  $\sim 2.9 \times 10^7$  moles, yielding  $\sim 280$  moles LNO<sub>x</sub> per flash on average.

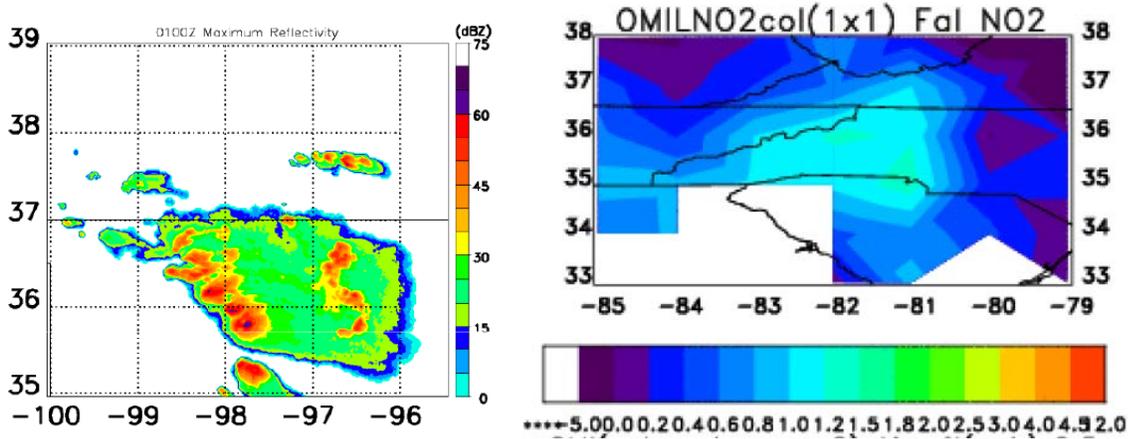


Figure 1. (left) Composite radar reflectivity for major convective system in Oklahoma at 0100 UT on 30 May 2012; (right) OMI LNO<sub>2</sub> maximum over the southern Appalachians at  $\sim 1830$  UT on 30 May 2012. Units:  $10^{15}$  molecules cm<sup>-2</sup>.

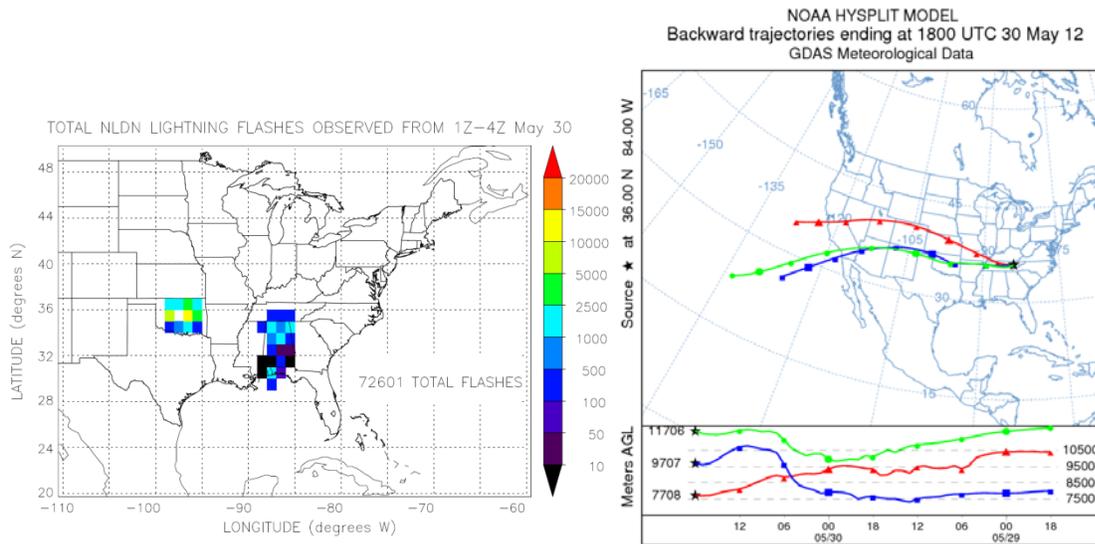


Figure 2. (left) Gridded total flash counts derived from NLDN cloud-to-ground flashes scaled using climatological IC/CG ratios for the period 0100 – 0400 UT on 30 May 2012; (right) back trajectories from region of LNO<sub>x</sub> enhancement on 30 May 2012, indicating transport from Oklahoma storm in the 10 – 12 km layer.

A second downwind case (8 June 2012) is in the process of being analyzed. Figure 3 shows the flash distribution for a complex of storm systems over northeastern Colorado, southeastern Wyoming and western Nebraska during the hour between 0100 and 0200 UT and the OMI LNO<sub>2</sub> field showing a maximum over central/eastern Kansas and Oklahoma. Figure 4 displays a set of

back trajectories initialized within the region of enhanced LNO<sub>x</sub>. These trajectories link the LNO<sub>x</sub> maximum to the storms in northeast Colorado and surrounding areas to the north and east that persisted from 2200 UT 7 June to 0900 UT 8 June producing an estimated 88,421 total flashes.

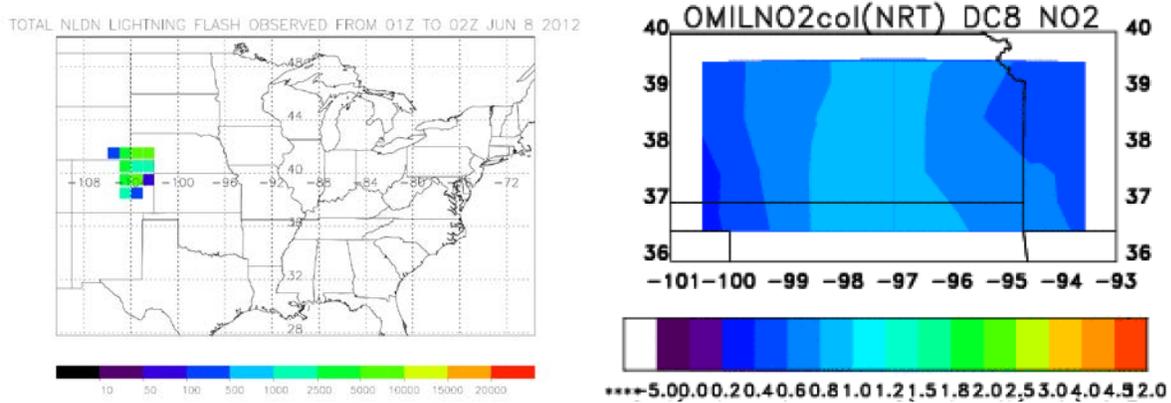


Figure 3. (left) Gridded total flash counts derived from NLDN cloud-to-ground flashes scaled using climatological IC/CG ratios for the period 0100 – 0200 UT on 8 June 2012; (right) OMI LNO<sub>2</sub> maximum over Kansas and Oklahoma at ~1830 UT on 8 June 2012. Units: 10<sup>15</sup> molecules cm<sup>-2</sup>.

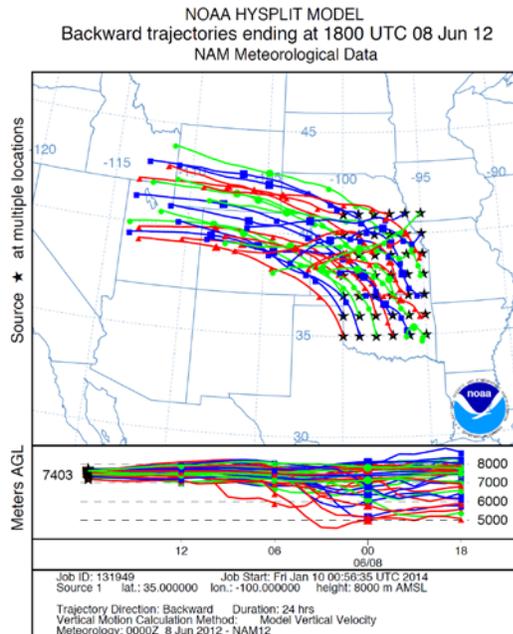


Figure 4. Back trajectories from region of LNO<sub>x</sub> enhancement on 8 June 2012, indicating transport from Colorado/Wyoming/Nebraska storms in the 8 km layer.

### Active Convection Cases

Analysis of OMI LNO<sub>x</sub> from two active convection cases is underway. Figure 5 shows the retrieved OMI LNO<sub>2</sub> for 11 June 2012 over storms in Missouri, Arkansas, and Illinois, and the gridded estimated total flashes for the three-hour period from 1400 – 1700 UT, ending about 1.5 hours prior to OMI overpass. Flashes over the period from 1100 UT to overpass time totaled

165,551. Figure 6 shows the OMI LNO<sub>2</sub> for the case of active convection over southern Missouri, Illinois, and Indiana on 21 June 2012.

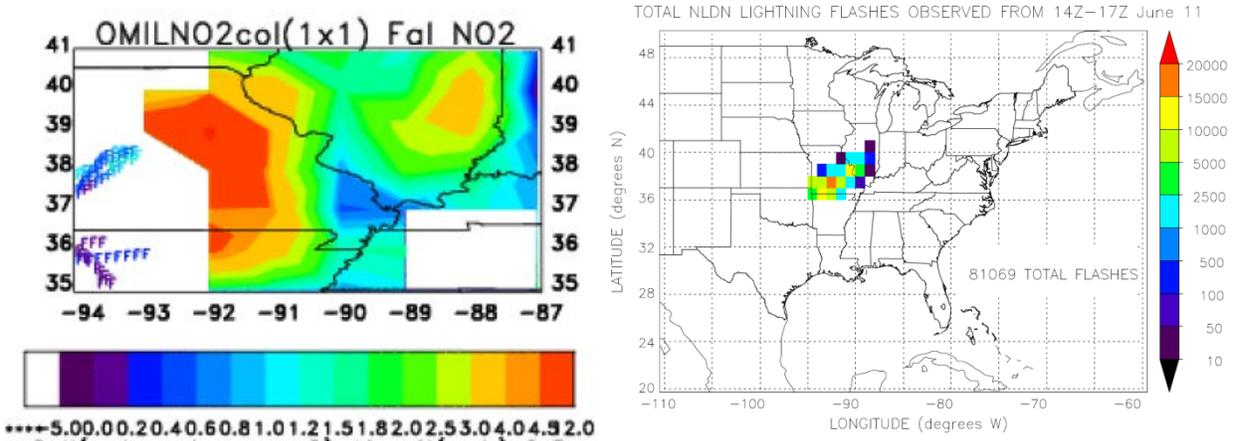


Figure 5. (left) OMI LNO<sub>2</sub> over active convection on 11 June 2012. Units: 10<sup>15</sup> molecules cm<sup>-2</sup>. (right) Gridded total flash counts derived from NLDN cloud-to-ground flashes scaled using climatological IC/CG ratios for the period 1400 - 1700 UT on 8 June 2012 (prior to OMI overpass).

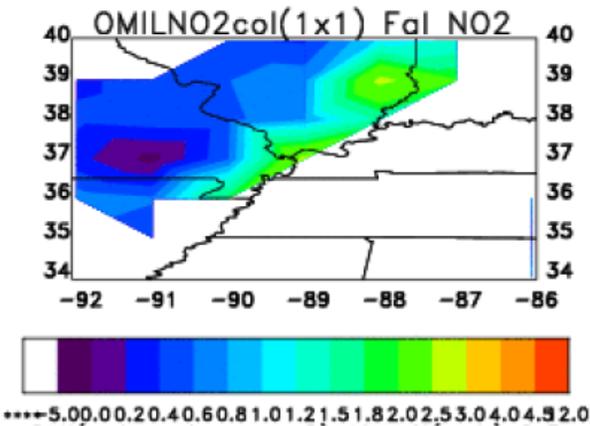


Figure 6. OMI LNO<sub>2</sub> over active convection on 21 June 2012. Units: 10<sup>15</sup> molecules cm<sup>-2</sup>.

## SUMMARY

Four cases of enhanced LNO<sub>x</sub> detected by the OMI instrument on NASA's Aura satellite during the DC3 experiment are being analyzed in relation to the number of contributing flashes in order to make estimates of mean LNO<sub>x</sub> production per flash. In each of these cases DC3 research aircraft measured in situ NO<sub>x</sub>, providing data with which our satellite retrieval method could be refined. In two cases the enhanced LNO<sub>x</sub> was located well downwind of storms, and the enhanced LNO<sub>x</sub> region was linked to the contributing flashes by means of air trajectories. For the 29-30 May 2012 Oklahoma convection case, the enhanced LNO<sub>x</sub> was found over the southern Appalachians. The number of contributing flashes was determined from a combination

of Oklahoma LMA and NLDN data, yielding an estimate of ~280 moles LNO<sub>x</sub> per flash, which is well within the range found in the literature. Work is ongoing for case downwind of substantial convection over northeast Colorado and surrounding area and for two cases of active convection over the Arkansas to Indiana region.

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